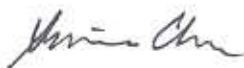


DOE BSO – LBNL Operating Principles

August 2004

This set of Operating Principles is intended to govern the mutual interactions between the Department of Energy Berkeley Site Office and the Lawrence Berkeley National Laboratory. They are an update to those developed in 1995 between the DOE Oakland Operations Office and LBNL, and reflect key changes contained in the DOE Office of Science "One SC" organization, plans for a best practices management and operating contract for LBNL, and the evolved maturity of our relationship. We fully endorse these principles and expect the employees of our respective organizations to abide by and implement them in their daily interactions.

1. BSO's primary roles are: contract management, field program and project implementation, and federal stewardship. Its interactions with LBNL will emphasize guidance and direction, and maintaining an integrated awareness of laboratory planning, programs and operations in order to support DOE mission execution and appraise performance under the contract.
2. The Laboratory contractor has full responsibility and accountability for all programmatic, operational, administrative and regulatory functions. LBNL will pursue excellence in all its operations and business management activities as well as in its scientific and technology research and development work.
3. BSO and LBNL interactions will be based on mutual trust, respect, and recognition of common goals and objectives.
4. LBNL will provide transparency to DOE: open and unfettered access to information and facilities, in accordance with standard protocols and requirements.
5. BSO will fulfill its responsibilities in the least intrusive manner possible, and LBNL will engage the BSO across its programs and functions to facilitate this intention.
6. Both LBNL and BSO will pursue and apply best management practices, including the maximal adoption of national standards, externally certified operational and business systems, and streamlining/cost efficiencies consistent with effective institutional stewardship.



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